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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/481,766	01/11/2000	Robert J. Tramontano	8378.00	6080

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[REDACTED] EXAMINER

TRUONG, CAM Y T

ART UNIT	PAPER NUMBER
2172	

DATE MAILED: 02/14/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

WAG

**Office Action Summary**

Application No.	Applicant(s)	
09/481,766	TRAMONTANO, ROBERT J.	
Examiner	Art Unit	
Cam-Y T Truong	2172	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) Responsive to communication(s) filed on \_\_\_\_\_.  
2a) This action is FINAL.                    2b) This action is non-final.  
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) Claim(s) 1-35 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.  
5) Claim(s) \_\_\_\_ is/are allowed.  
6) Claim(s) 1-35 is/are rejected.  
7) Claim(s) \_\_\_\_ is/are objected to.  
8) Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) The specification is objected to by the Examiner.  
10) The drawing(s) filed on \_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
    Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
11) The proposed drawing correction filed on \_\_\_\_ is: a) approved b) disapproved by the Examiner.  
    If approved, corrected drawings are required in reply to this Office action.  
12) The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
    a) All b) Some \* c) None of:  
        1. Certified copies of the priority documents have been received.  
        2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
        3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
    \* See the attached detailed Office action for a list of the certified copies not received.  
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  
    a) The translation of the foreign language provisional application has been received.  
15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) Notice of References Cited (PTO-892)                    4) Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.  
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)                    5) Notice of Informal Patent Application (PTO-152)  
3) Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.                    6) Other:

## DETAILED ACTION

1. Claims 1-35 are pending in this Office Action.

### ***Claim Rejections - 35 USC § 112***

2. Claims 2-7, 9 recite the limitation "those customers" in page 8, line 5, page 9, line 2. There is insufficient antecedent basis for this limitation in the claims 2 and 9.

Claims 21-34 recite the limitation "those customers" in page 10, line 12. There is insufficient antecedent basis for this limitation in the claim 21.

Claim 35 recites the limitation "those customers" in page 12, line 9. There is insufficient antecedent basis for this limitation in the claim 35.

### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in-

(1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effect under this subsection of a national application published under section 122(b) only if the international application designating the United States was published under Article 21(2)(a) of such treaty in the English language; or  
(2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that a patent shall not be deemed filed in the United States for the purposes of this subsection based on the filing of an international application filed under the treaty defined in section 351(a).

4. Claim 35 is rejected under 35 U.S.C.102(e) as being anticipated by Zucknovich et al (or hereinafter "Zucknovich") (USP 5940843).

As to claim 35, Zucknovich teaches the claimed limitation "a plurality of self-service machines.....frequent the SSM" as (fig. 1, col. 28, lines 30-65; col. 11, lines 5-25).

***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 1, 8, 10-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Burdick et al (or hereinafter "Burdick") (USP 6148307).

As to claim 1, Burdick does not teach the claimed limitation "each of the SSMS executes a relational database.....stored on the SSM". Burdick teaches that the relationship between the data distributor and database servers 505, 506, 507, and 509 within the distributed database. Each database server is associated with loader which includes inserting, updating, Deleting to maintain each database server (fig. 5). It would have been obvious to a person of an ordinary skill in the art at the time the invention was made to modify Burdick's teaching in order to distribute or update database servers in each server so that a user can save time for searching or retrieving data from different physical locations.

As to claim 8, Burdick discloses the claimed limitation subject matter in claim 2, except Burdick does not teach the claimed limitation "each of the relational databases is a partition of a global relational database, ..... stored on a plurality of the SSMS". However, Burdick teaches that data distributor 609 has the ability to provide both

incremental and full refreshes of database tables to remote site databases (fig. 5, col. 12, lines 35-40).

As to claim 10, Burdick teaches the claimed limitation "one or more transaction ....from the SSMs" as (col. 6, lines 40-67; col. 7, lines 10-40).

As to claim 11, Burdick teaches the claimed limitation "one or more data warehouse systems coupled ....involving the SSMs" as (fig. 5, col. 7, lines 10-40).

As to claim 12 , Burdick teaches the claimed limitation "synchronizing the storage of information ....data warehouse system" as (fig. 5).

As to claim 13, Burdick teaches the claimed limitation "synchronizing the storage of information among the SSMs" as (fig. 5).

As to claim 14, Burdick teaches the claimed limitation "uploading information from the SSMs to the data warehouse system" as (fig. 5).

As to claim 15, Burdick teaches the claimed limitation "downloading information from the data warehouse system to the SSMs" as (fig. 5).

As to claim 16, Burdick teaches the claimed limitation "the SSMs store ....data warehouse system" as (fig. 5).

7. Claims 2-7, 9, 17-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Burdick in view of Melchione et al (or hereinafter "Melchione") (USP 5930764).

As to claim 2, Burdick discloses the claimed limitation subject matter in claim 1, except Burdick does not teach the claimed limitation "each of the relational database .....that frequent the SSM". However, Melchione teaches the above claimed limitation in col.11, lines 25-40. It would have been obvious to a person of an ordinary skill in the art at time invention was made to apply Melchione's teaching of the central customer information system contains a plurality of customer profiles, each customer profile including demographic information and customer financial goals to Burdick's system in order to keep track a user's profile at branch offices.

As to claim 3, Burdick discloses the claimed limitation subject matter in claim 2, except Burdick does not teach the claimed limitation "the SSM further comprises means for .....the customer at the SSM". However, Melchione teaches the above claimed limitation in col. 11, lines 40-60.

As to claim 4, Burdick discloses the claimed limitation subject matter in claim 2, except Burdick does not teach the claimed limitation "using the information stored.....to

the customer at the SSM". However, Melchione teaches the above claimed limitation in col. 11, lines 40-60.

As to claim 5, Burdick discloses the claimed limitation subject matter in claim 2, except Burdick does not teach the claimed limitation "wherein operations for the relational database.....in the relational database on the SSMs". However Burdick teaches that a client's local database server 105 then processes the search request, requesting information from other database servers 107 as necessary (col. 7, lines 30-40).

As to claim 6, Burdick discloses the claimed limitation subject matter in claim 2, except Burdick does not teach the claimed limitation "storing the information in relational databases on a plurality of the SSMs". However, Burdick teaches that storing databases on each server (col. 8, lines 40-55).

As to claim 7, Burdick discloses the claimed limitation subject matter in claim 2, except Burdick does not teach the claimed limitation "moving the information ....among the SSMs". However, Burdick teaches that data distributor 609 has the ability to provide both incremental and full refreshes of database tables to remote site databases (fig. 5, col. 12, lines 35-40).

As to claim 9, Burdick discloses the claimed limitation subject matter in claim 2, except Burdick does not teach the claimed limitation "each of the relational database....that frequent the SSM that executes the RDBMS". However, Melchione teaches the above claimed limitation in col.11, lines 25-40. It would have been obvious to a person of an ordinary skill in the art at time invention was made to apply Melchione's teaching of the central customer information system contains a plurality of customer profiles, each customer profile including demographic information and customer financial goals to Burdird's system in order to keep track a user's profile at branch offices.

As to claim 17, Burdick discloses the claimed limitation subject matter in claim 11, except Burdick does not teach the claimed limitation "each of SSMs captures detailed....data warehouse system". However, Melchione teaches the above claimed limitation in col. 16, lines 10-30; col. 11, lines 30-50.

As to claims 18 and 32, Burdick discloses the claimed limitation subject matter in claim 17, except Burdick does not teach the claimed limitation "the detailed data about the customer's ....for future use". However, Melchione teaches the above claimed limitation in col. 11, lines 40-60; col. 10, lines 55-65. It would have been obvious to a person of an ordinary skill in the art at the time the invention was made to apply Melchione's teaching of tracking customer arrivals at branch offices and reporting information pertaining to the arrival in the branch for new and existing customers going

through the sales process and including a pending file storage means for storing salient data that has been collected. If the data is needed during a subsequent visit by the customer to the bank, the data can be retrieved without asking the customer to provide data that he or she already provided to Burdick's system in order to save time for searching and retrieving data from database.

As to claims 19 and 33 Burdick teaches the claimed limitation "the detailed data is uploaded ....data warehouse system" as (fig. 5).

As to claim 20, Burdick discloses the claimed limitation subject matter in claim 11, except Burdick does not teach the claimed limitation "the customer-specific information....data warehouse system". However, Melchione teaches the above claimed limitation in col. 11, lines 40-60.

As to claim 21, Burdick does not teach the claimed limitations "executing a relational database ....using the information stored in the relational database to more effectively serve the customer at the SSM". However, Burdick teaches the claimed limitation "executing a relational database.....maintains a relational database stored on the SSM" as the relationship between the data distributor and database servers 505, 506, 507, and 509 within the distributed database. Each database server is associated with loader which inserts, updates, Deletes database (fig. 5). Melchione teaches the claimed limitation "each of the relational database stores information.....serve the

customer at the SSM" as the central customer information system contains a plurality of customer profiles, each customer profile including demographic information and customer financial goals. It would have been obvious to a person of ordinary skill in the art at time invention was made to apply Burdick's teaching of the relationship between the data distributor and database servers 505, 506, 507, and 509 within the distributed database. Each database server is associated with loader (inserts, updates, Deletes (fig. 5) and Melchione's teaching of as the central customer information system contains a plurality of customer profiles, each customer profile including demographic information and customer financial goals in order to distribute data base in machines so that a user can save time for searching or retrieving data from different physical locations and keep track a user's profile at branch offices.

As to claim 22, Burdick discloses the claimed limitation subject matter in claim 21, except Burdick does not teach the claimed limitation "using the information stored.....to the customer at the SSM". However, Melchione teaches the above claimed limitation in col. 11, lines 40-60.

As to claim 23, Burdick discloses the claimed limitation subject matter in claim 21, except Burdick does not teach the claimed limitation "each of the relational databases is a partition of a global relational database, .....stored on a plurality of the SSMS". However, Burdick teaches that data distributor 609 has the ability to provide

both incremental and full refreshes of database tables to remote site databases (fig. 5, col. 12, lines 35-40).

As to claim 24, Burdick discloses the claimed limitation subject matter in claim 24, except Burdick does not teach the claimed limitation "processing financial transaction.....processing systems coupled to the network". However, Melchione teaches the above claimed limitation col. 38, lines 5-25.

As to claim 25, Burdick teaches the claimed limitation "storing information collected .....data warehouse systems coupled to the network" as (fig. 1 and 5).

As to claim 26, Burdick teaches the claimed limitation "synchronizing the storage of information ....data warehouse system" as (fig. 5).

As to claim 27, Burdick teaches the claimed limitation "synchronizing the storage of information among the SSMs" as (fig. 5).

As to claim 28, Burdick teaches the claimed limitation "uploading information from the SSMs to the data warehouse system" as (fig. 5).

As to claim 29, Burdick teaches the claimed limitation "downloading information from the data warehouse system to the SSMs" as (fig. 5).

As to claim 30, Burdick teaches the claimed limitation "the SSMs store ....data warehouse system" as (fig. 5).

As to claim 31, Burdick discloses the claimed limitation subject matter in claim 25, except Burdick does not teach the claimed limitation "each of SSMs captures detailed....data warehouse system". However, Melchione teaches the above claimed limitation in col. 16, lines 10-30; col. 11, lines 30-50.

As to claim 34, Burdick discloses the claimed limitation subject matter in claim 25, except Burdick does not teach the claimed limitation "the customer-specific information.....data warehouse system". However, Melchione teaches the above claimed limitation in col. 11, lines 25-50. It would have been obvious to a person of an ordinary skill in the art at the time the invention was made to apply teaching of Melchione's teaching of the central customer information system contains a plurality of customer profiles, each customer profile including demographic information and customer financial information and presenting information concerning the component of the selected account to the customer for allowing data communication between the central customer information system and the central database to Burdick's system in order to save time for searching or retrieving data from different physical locations and keep track a user's profile at branch offices.

***Conclusion***

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure

Covert et al (USP 6334117)

Dedrick (USP 5717923)

Martin et al (USP 6016501)

***Contact Information***

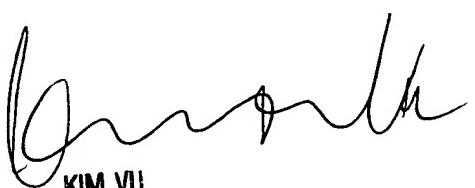
9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cam-Y Truong whose telephone number is (703-605-1169). The examiner can normally be reached on Mon-Fri from 8:00AM to 4:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Vu, can be reached on (703-305-4393). The fax phone numbers for the organization where this application or proceeding is assigned is (703-308-9051).

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703-305-3900).

CY

1/28/02



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